

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**Under 37 CFR 1.97(b) or 1.97(c)**

Docket No.

16497.5.1

In Re Application Of: **Richard S. Ginn et al.**

Application No.

10/669,313

Filing Date

09/23/2003

Examiner

BAXTER, JESSICA R

Customer No.

057360

Group Art Unit

3733

Confirmation No.

4672

Title: **APPARATUS AND METHODS FOR POSITIONING A VASCULAR SHEATH**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

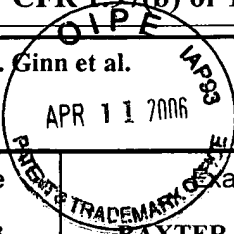
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
16497.5.1

In Re Application of: Richard S. Ginn et al.



Application No. 10/669,313	Filing Date 09/23/2003	Examiner BAXTER, JESSICA R	Customer No. 057360	Group Art Unit 3733	Confirmation No. 4672
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Title: APPARATUS AND METHODS FOR POSITIONING A VASCULAR SHEATH

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
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*This certificate may only be used if paying by deposit account.

Signature

Dated: April 11, 2006

FRASER D. ROY
Attorney for Applicants
Registration No. 45,666
Customer No. 057360

CC:



EXPRESS MAIL NO. EV 825 901 387 US

PATENT APPLICATION
Docket No: 16497.5.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
)
	Richard S. Ginn)
)
Serial No.:	10/669,313) Art Unit
) 3733
Confirmation No.:	4672)
)
Filed:	September 23, 2003)
)
For:	APPARATUS AND METHODS FOR)
	POSITIONING A VASCULAR SHEATH)
)
Examiner:	Jessica R. Baxter)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the required references or relevant portion thereof is also enclosed.

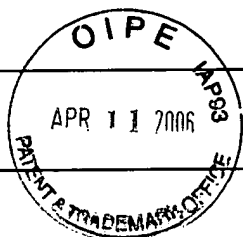
Dated this 11 day of April, 2006.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'FRASER D. ROY', with a stylized flourish at the end.

FRASER D. ROY
Attorney for Applicants
Registration No. 45,666
Customer No. 057360

FDR:kc
KC0000005644V001



FORM PTO-1449	Atty. Docket No.: 16497.5.1	Serial No.: 10/669,313
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Richard S. Ginn	
	Filing Date: 09/23/2003	Group Art: 3733

PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,461,364	10/08/2002	Ginn et al.	606	142	04/11/2000
	6,391,048	05/21/2002	Ginn et al.	606	213	07/05/2000
	6,383,208	05/07/2002	Sancoff et al.	606	213	11/03/2000
	6,197,042	03/06/2001	Ginn et al.	606	213	01/05/2000
	6,117,125	09/12/2000	Rothbarth et al.	604	523	05/02/1996
	5,700,273	12/23/1997	Buelna et al.	606	148	07/14/1995
	5,674,231	10/07/1997	Green et al.	606	142	10/20/1995
	5,419,777	05/30/1995	Hofling	604	264	05/02/1994
	5,304,184	04/19/1994	Hathaway et al.	606	144	10/19/1992
	5,222,974	06/29/1993	Kensey et al.	606	213	11/08/1991

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
	WO 00/07640	17.02.2000	WIPO	A61B	17/04	
	WO 99/60941	02.12.1999	WIPO	A61B	19/00	
	EP 0 941 697	15.09.1999	EPO	A61B	17/00	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.